



16569 U.S.PTO

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Attorney Docket No. 10739.14.185

031088 U.S.PTO  
10/699592

## APPLICATION TRANSMITTAL

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of

INVENTOR(S): Rick Kerner and Norman O. Berg

FOR: HEAT EXCHANGER SYSTEM

Enclosed are:

- Specification and Abstract - 31 pages.
- Drawings - 6 sheets (Figs. 1-6).
- Combined Declaration and Power of Attorney.
- Information Disclosure Statement.
- Assignment papers.
- CD-ROM or CD-R in duplicate, and Compact Disc Transmittal.
- Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (nonpublication).
- Return Postcard.

The filing fee has been calculated as shown below:

|                                      | No. Filed | No. Extra    | Small Entity Rate | OR           | Large Entity Rate |
|--------------------------------------|-----------|--------------|-------------------|--------------|-------------------|
| Basic Fee                            |           |              | \$385             | OR           | \$770             |
| Total Claims                         | 36 - 20   | = 16**       | x 9 = \$          | OR           | x 18 = \$288      |
| Independent Claims                   | 8 - 3     | = 5**        | x 43 = \$         | OR           | x 86 = \$688      |
| Presence of Multiple Dependent Claim |           |              | + 145             | OR           | + 290             |
|                                      |           | <b>TOTAL</b> | \$                | <b>TOTAL</b> | <b>\$1746.00</b>  |

\*\*If the difference is less than zero, enter "0"

- Applicant(s) is/are entitled to small entity status in accordance with 37 CFR 1.27.
- A check in the amount of \$0.00 to cover the filing fee and/or an Assignment recordation fee is attached.

[ ] This application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.

Respectfully submitted,



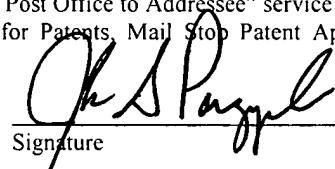
John S. Parzych  
Registration No. 52,097

*The Commissioner is hereby authorized to grant any extensions of time and to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required during the entire pendency of this application to Deposit Account No. 06-1910.*

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number: EL963530097 US. Date of Deposit: October 31. 2003. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Patent Application, PO Box 1450, Alexandria, VA 22313-1450.

John S. Parzych  
Name of Person Making Deposit

  
Signature

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 10739.14.185

KERNER, et al.

Application No.: *Filed Herewith.*

Examiner: *Unknown.*

Filed: *Filed Herewith*

Group Art Unit: *Unknown.*

For: HEAT EXCHANGER SYSTEM

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

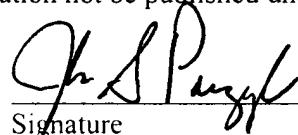
Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 31, 2003

Date

  
Signature

John S. Parzych

Name

(612) 492-7000

Telephone Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b) the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the application must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**